

# Complaints Procedure and Policy



## Undershaw

<b>Policy Title</b>	<b>Complaints Procedure and Policy</b>
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<b>Signed by</b>	Chair of Governors Headteacher September 2024

## Introduction

Undershaw is dedicated to providing the best possible education and support for all its pupils. This means having a clear, fair, and efficient procedure for dealing with any complaints from parents of registered pupils to or against the school, so that any issues that arise can be dealt with as swiftly and effectively as possible.

This policy only fully applies to parents of registered pupils at the school. If complaints are raised by persons other than parents, they will be dealt with under stage 2 of the formal policy.

All school staff will be made aware of complaints procedures and expected to review this document regularly in order that they are familiar with our process of dealing with complaints and can be of the most assistance when an issue is brought to their attention.

This document explains that procedure, and the steps that it outlines should be referred to and followed by all pupils and their parents whenever an issue arises that causes them concern. If it becomes necessary to alter the time limits and deadlines set out within this procedure, you will be advised accordingly, given an explanation and provided with revised timescales.

### This document does not apply to complaints about:

- Pupil admissions
- Pupil exclusions
- Statutory assessments of Special Educational Needs and Education Health and Care Plans
- Appeals relating to internal assessment decisions for external qualifications
- Disciplinary issues relating to members of staff
- Matters likely to require a child protection investigation
- National curriculum content
- Complaints about services provided by other providers who may use school premises or facilities
- School re-organisation proposals
- Complaints about collective worship

Each of these follows its own process of complaints and appeals which are outlined in their relevant policies.

If there is an allegation or concern about physical or sexual misconduct towards a child, or there is a belief that a child may be at risk of serious harm, the school may immediately refer the case to child protection and welfare services. If it is decided that there is cause for an official investigation, the decisions by these authorities will supersede those made by the school and outlined in this document. Where the complaint relates to a safeguarding referral made by a member of staff at the school, any consideration of that complaint by the school will be limited to a review of the reasonableness of the decision to make the referral in light of the evidence available to the member of staff at that time and in light of the school's safeguarding policies.

For more information on our school's provision for protecting our pupils, read our **child protection and safeguarding policy**, and the **allegations of abuse against staff** policy (available from the school office).

Concerns or complaints should be brought to the school's attention as soon as possible to enable a proper investigation to take place. Any matter raised more than 3 months after the incident being complained of (or, where a series of associated incidents have occurred, within 3 months of the last of these incidents) will not be considered unless there are exceptional circumstances.

Anonymous complaints will not be examined under this document.

## 1. When an issue or concern first arises

If you have a concern that you would like to take up with the school you should initially inform a member of staff either in person, over the telephone or in writing. You may then be invited to an informal meeting with the member of staff most appropriate for dealing with your concern. You may wish to approach a member of staff, including any member of SLT first as they will be best placed to help you either directly or by recommending which other member of staff you should be speaking to.

We encourage parents to approach staff with any concerns they may have and aim to resolve all issues with open dialogue and mutual understanding.

A written explanation of your concern (email is acceptable) will need to be given to the staff member that you speak to, so that it is easy to see – when complaints are taken further or referred to in the future – what the initial problem was.

**If your complaint is about a member of staff**, you should first raise this with the headteacher either in person or in writing, and a meeting can be arranged with the headteacher to discuss the issue at hand.

**Headteacher:** [head-teacher@undershaw.education](mailto:head-teacher@undershaw.education)

**If your complaint is about the headteacher**, you should raise your concern in writing with the chair of governors.

If your complaint is about a governor, you should raise your concern in writing with the clerk to the governing body.

**Clerk to the governing body:** [clerk@undershaw.education](mailto:clerk@undershaw.education)

**Chair of Governors:** [chair@undershaw.education](mailto:chair@undershaw.education)

Any governor will refer complaints that are taken straight to them back to the appropriate member of staff unless one of the above exceptions applies.

### 1.2 Initial informal meeting

Once a concern has been raised you may be invited to attend an informal meeting with a member of staff or the headteacher/chair of governors to discuss your concerns.

You are welcome to bring a friend, partner or, in the case of a pupil who has raised a concern, a parent to this meeting. It may be appropriate for a pupil to attend the meeting if their parent has raised a concern, depending on the nature of the issue.

We do not accept requests to record meetings but written minutes will be taken and can be shared and you are welcome to take your own written minutes.

Staff have a responsibility to ensure that you understand any future points of action that have been agreed upon in this meeting and should make a record of what has been discussed, as well as any outcomes and a plan of action, if one has been agreed.

All staff will do their best to ensure that your concerns are dealt with appropriately and efficiently but if you cannot come to an agreement, or are dissatisfied with the outcome of your meeting, you can make a formal complaint in writing to the headteacher.

There is no suggested time-scale for resolution at this stage given the importance of dialogue through informal discussion although it would be expected that most issues would be resolved within ten school days.

## 2. Formal complaints

In order to ensure that complaints are processed efficiently and effectively, Undershaw deals with formal complaints in three stages:

**Stage 1- Formal complaint to The Headteacher**

- If you do not feel that your concern has been dealt with as you would like, are unhappy with the outcome of your informal meeting or feel that the issue is serious enough that it warrants it, you can **make a formal complaint in writing to the headteacher**. If your complaint is about the headteacher you should go straight to Stage 2 of this procedure. Your written complaint should provide enough detail of the issues to allow the headteacher to investigate and respond to the complaint. You should also set out what you feel would resolve the complaint.
- The headteacher should acknowledge your complaint in writing within **five school days**. They may already be aware of the situation. They will outline the procedure and a target date for providing a written response to the complaint, which will normally be **within seven school days** of receipt to allow sufficient time for investigation.
- The headteacher may call you in for a meeting to discuss the issue outcome, possible solutions, or to explain what has or will happen as a result of your complaint. The headteacher will keep a record of all interactions with you and other staff, meetings and decisions made in reference to your complaint.

If the complaint is against a member of staff, the headteacher will talk to that employee. If it is an allegation of abuse, a formal investigation may be instigated by the school or external child welfare authorities to whom the school reports. Please refer to our **allegations of abuse against staff policy** for an outline of this procedure.

The headteacher will respond to you in writing outlining their response to your concern, and any action that has or will be taken. If they have decided not to take any further action on the issue, they will explain what they have decided, how they have reached this decision, and will outline your right to take the matter further and the steps to be taken.

**Stage 2 – Formal Complaint to the Chair of Governors**

- If, having spoken to the headteacher, you are dissatisfied with the outcome of your complaint or your complaint is about the headteacher, you may lodge your complaint with the chair of governors. Your complaint should be lodged **within ten school days of the outcome at Stage 1**. If your complaint is not escalated within this timeframe, it will be deemed that the decision at Stage 1 is accepted, and the complaint will be closed (unless there are exceptional circumstances to explain the delay).
- The complaint must be in writing and it should explain your concern in sufficient detail and the steps that have lead up to you taking this course of action. You should also set out the actions you feel would be necessary to resolve the complaint.

If the complaint is against a member of staff, that employee will be given the opportunity to write a response, which will be sent to the chair of governors within five school days of the complaint being lodged with them.

The chair of governors will usually **respond to you in writing within ten school days** outlining their response to your concern, and any action that has or will be taken. If they have decided not to take any further action on the issue, they will explain what they have decided, how they have reached this decision, and will outline your right of appeal and how you can start your appeal.

### 3. Appeals

#### ***Stage 3 – Lodging an appeal***

- If you would like to lodge an appeal following the outcome of a formal complaint at stage 2, this will be taken to the appeals panel. You should **write to the clerk to the governing body** to exercise this right **within ten school days of the outcome at Stage 2**. If no request for an appeals panel hearing is received within ten school days, it will be deemed that the decision is accepted, and the complaint will be closed (unless there are exceptional circumstances to explain the delay).
- If an appeals panel is requested, the clerk to governors will acknowledge your appeal and make the necessary arrangements and will usually convene the appeals panel **within fifteen school days** from the acknowledgement being sent. Where it is not possible to find a mutually convenient date within that timescale, the school will take reasonable steps to agree a time and date mutually convenient to all parties. If, despite best efforts, it is not possible to find a mutually convenient date and time for a hearing within a reasonable timeframe, the clerk to governors may determine that the hearing proceeds because of written submissions from you and the school. The clerk will ensure that all parties to the appeal have access to the same documentation and set out a timetable to support the collation and circulation of documents. Any supporting documentation relevant to the complaint must be submitted to the appeals panel by both parties at least five days before the appeals panel hearing. The appeals panel is under no obligation to hear oral evidence from witnesses but may do so and/or may take written statements into account. The appeals panel will not accept recordings of conversations that were obtained covertly and without the informed consent of all parties being recorded.
- You are entitled to be accompanied to the appeals panel hearing and should notify the clerk in advance if you attend to bring anyone.

#### **The Appeals Panel**

The appeals panel will be made up of between three to five members of the governing body. No person can sit on the appeals panel if they have had any former knowledge or involvement in the case that is being dealt with at that time. The chair of the panel will be nominated from within the group of panel members. All panel members will be familiar with and have access to the complaints policy.

The appeals panel will not review any new complaints at this stage or consider evidence unrelated to the initial complaint. New complaints must be dealt with from Stage 1 of the procedure.

The panel will consider how the complainant can be made to feel most comfortable presenting to the panel, especially in the case of a young child having to present or explain information.

#### **Appeals Procedure**

The Appeals Panel will determine the procedure to be followed to ensure that it is best placed to deal with the issues arising from the complaint. There may be some situations where it is best to amend the above procedure so that the panel can hear from the school and complainant separately. However, the procedure for an appeal is usually as follows:

1. *The complainant and headteacher will enter the hearing together.*
2. *The chair will introduce the panel members and outline the process.*
3. *The complainant will explain the complaint.*
4. *The headteacher and panel will question the complainant.*

5. *The headteacher will explain the school's actions.*
6. *The complainant and panel will question the headteacher.*
7. *The complainant will sum up their complaint.*
8. *The headteacher will sum up the school's actions.*
9. *The chair will explain that both parties will hear from the panel within five working days.*
10. *Both parties will leave together while the panel decides.*
11. *The clerk will stay to assist the panel with its decision making.*

The clerk to governors will ensure that sufficient notes are taken to record an accurate reflection of the points considered and any decisions taken, or actions agreed. Electronic recordings of the hearing will not normally be permitted and, in any event, would require the consent of all those present.

The chair of the panel/clerk to governors will notify the complainant of the panel's decision in writing within five school days of the appeal hearing. The letter will set out the decision of the panel together with the reasons underpinning that decision. The letter may set out recommendations which will be made to the governing body.

**The appeals panel may:**

- dismiss all or part of the complaint
- uphold all or part of the complaint
- decide on the appropriate action to be taken to resolve the complaint
- evaluate all the evidence available and recommend changes to the school's systems or procedures as a preventative step against similar problems arising in the future.

The panel's decision is final. If you are unhappy with the outcome, you may wish to put your complaint to the Secretary of State. Complaints can be submitted online at <https://www.gov.uk/complain-about-school>

#### **4. Vexatious/persistent complaints**

Whilst it is hoped that this document will reduce any dissatisfaction with the school, it is acknowledged that there may be rare occasions where a complainant continues to be dissatisfied with the school and the outcomes achieved under the complaints procedure.

Where a complainant attempts to re-open an issue which has already been dealt with under the complaints procedure, the chair of governors will contact them to inform them that the matter has already been dealt with and that either that stage of the policy has been exhausted or that the complaints procedure has been exhausted and the matter is considered closed. Where further correspondence is received on the same matter, this may be considered vexatious and the school will be under no obligation to respond to that correspondence.

**Please refer to our Vexatious and Persistent Complaints Policy for further information**

#### **5. Governing body – records, review and monitoring of complaints**

The school will record the progress of all complaints, including information about actions taken at all stages, the stage at which the complaint was resolved, and the outcome. The records will also include copies of letters and emails, and notes relating to meetings and phone calls. This material will be treated as confidential and held centrally and will be viewed only by those involved in investigating the complaint or on the review panel.

Records of complaints will be kept securely, only for as long as necessary and in line with data protection law and the General Data Protection Regulations.

Undershaw will review and evaluate all complaints no matter how far they are taken or what the outcome to ensure that similar problems are avoided in the future or to see if they could have been managed any more effectively. All records of any complaints will be kept confidential but may be inspected where appropriate by the Secretary of State or any inspection body. The governing body will review the complaints procedure every 3 years or sooner if required.

## 6. Staff complaints

Staff who have a concern about a colleague or a volunteer member of staff should refer to our **whistleblowing policy**. The procedure for dealing with any other staff complaints or employment grievances is set out in the school’s Employee Handbook available in TEAMS

## 7. Complaint campaigns

For the purposes of this policy, a complaint campaign is defined as a complaint from three or more separate individuals (whether or not connected with the school) which are all based on the same subject. Depending on the subject in question, the school may deviate from the procedure set out in this policy and instead:

- send a template response to all complainants and/or
- publish a single response on the school’s website (as applicable).

### Formal Complaints in Previous Academic Year

Academic Year 2023-2024 : 2

#### Contact details

Undershaw Education Trust

Portsmouth Road

Hindhead

Surrey

GU26 6AQ

Telephone: 01428 609 953 (Reception)

Headteacher: [head-teacher@undershaw.education](mailto:head-teacher@undershaw.education)

To make an appointment to meet with the Headteacher please telephone or e mail:

PA to Headteacher: [karen.cornell@undershaw.education](mailto:karen.cornell@undershaw.education)

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